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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(MODIFIED) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
53087-5004

SERIAL NO.
09/841,733

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

(37 C.F.R. § 1.98(b))

APPLICANT
Gunter, et al.

FILING DATE
April 25, 2001

GROUP

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>RIO</i>	A	6	2	1	6	1	1	6	04/10/01	Barkan, et al.	705	76	
	B	5	6	5	9	6	1	6	08/19/97	Sudia	380	23	
	C	5	9	0	3	8	8	2	05/11/99	Asay et al.	705	44	
	D	5	9	4	3	4	2	3	08/24/99	Muftic	380	25	
	E	5	9	7	8	4	8	4	11/02/99	Apperson et al.	380	25	
	F	5	9	6	0	0	8	5	09/28/99	De la Hueraga	380	25	
	G	6	0	0	3	0	1	4	12/14/99	Lee et al.	705	13	
	H	5	9	0	1	2	8	4	05/04/99	Hamdy-Swink	395	186	
	I	5	8	7	2	8	4	8	02/16/99	Romney et al.	380	25	
<i>RIO</i>	J	5	7	8	4	4	6	3	07/21/98	Chen et al.	380	21	
	K	5	7	6	1	3	0	9	06/02/98	Ohashi et al.	380	25	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

DOCUMENT NUMBER									PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>RIO</i>	L	Blaze, et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp. 1-32.
	M	Gunter, et al., "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience, September 21, 2000, Vol. 30, pp. 1609-1640.
<i>RIO</i>	N	Gunter, et al., "GENERALIZED CERTIFICATE REVOCATION", ACM Symposium On Principles Of Programming Languages, January 2000, pp. 1-14.

EXAMINER

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Page 1 of 5

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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<i>210</i>	O	5	7	5	7	9	2	0		05/26/98	Misra et al.	380	25	
	P	5	7	5	4	6	5	4		05/19/98	Hiroya et al.	380	24	
	Q	5	6	9	4	4	7	1		12/02/97	Chen et al.	380	25	
	R	5	4	1	2	7	1	7		05/02/95	Fischer	380	4	
	S	5	3	3	9	4	0	3		08/16/94	Parker	395	425	
	T	5	2	6	1	0	0	2		11/09/93	Perlman et al.	380	30	
	U	5	3	1	5	6	5	7		05/24/94	Abadi et al.	380	25	
	V	5	2	1	4	7	0	2		05/25/93	Fischer	380	30	
	W	4	8	6	8	8	7	7		09/19/89	Fischer	380	25	
	X	4	8	1	6	6	5	5		03/28/89	Musyck et al.	235	380	
	Y	5	6	1	5	2	6	8		03/25/97	Bisbee et al.	380	25	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

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														YES	NO

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<i>210</i>	Z	Kakkar, et al., "CERTIFICATE DISTRIBUTION WITH LOCAL AUTONOMY", International Workshop on Active Networks, October 2000, pp. 1-19.
	AA	Blaze, et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May 1996, pp. 1-23
	BB	Herzberg, et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp. 1-13.

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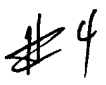
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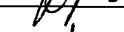

1-PH/1462764.2



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	KK	Muller, "BLUETOOTH SECURITY ARCHITECTURE", Bluetooth White Paper, July 15, 1999, pp. 1-33.
	LL	Mettala, "BLUETOOTH PROTOCOL ARCHITECTURE", Bluetooth White Paper, September 29, 1999, pp. 1-20.
	MM	Maywah, "AN IMPLEMENTATION OF A SECURE WEB CLIENT USING SPKI/SDSI CERTIFICATES", S.B. Massachusetts Institute of Technology, May 2000, pp. 1-76.
	NN	Dan Backman, "SMARTCARDS: THE INTELLIGENT WAY TO SECURITY", Network Computing, May 15, 1998, pp. 170-171.

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	APPLICANT Technology Center 2100	
	FILING DATE	GROUP

U.S. PATENT DOCUMENTS

[illegible]**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

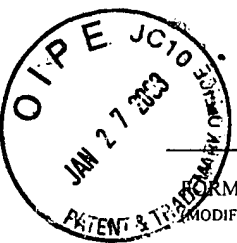
										PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
														YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

219	OO	Ellison, http://world.std.com/~cme/ , July 21, 2001, pp. 1-3
2	PP	IEEE, www.ieee.org , 13 pages
33	QQ	Infrared Data Association, www.irda.org , 93 pages

EXAMINER

DATE CONSIDERED 12/1/84



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 053087-5004
SERIAL NO. 09/841,733

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

APPLICANT
Gunter et al.

(37 C.F.R. § 1.98(b))

FILING DATE April 25, 2001
GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>DB</i>	A	6	2	1	2	6	3	4	04/03/01	Greer, Jr et al			
	B	5	5	3	0	2	3	5	06/25/96	Stefik et al.			
	C	6	4	4	6	2	5	3	09/03/02	Mellmer			
	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			
	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
	G	5	5	7	7	1	2	0	11/19/96	Penzias			
	H	5	2	2	0	6	0	4	06/15/93	Gasser et al.			
	I	6	2	8	2	1	8	3	08/28/01	Harris et al.			
	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
<i>DB</i>	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

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													YES	NO

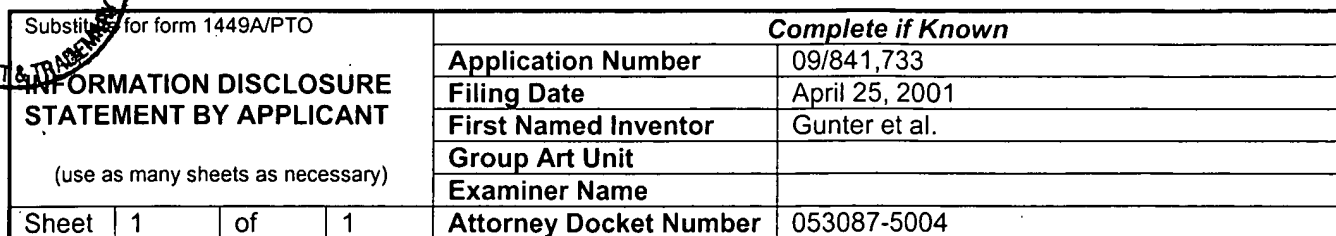
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<i>DB</i>	M	Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.

EXAMINER *Donald Bump* DATE CONSIDERED *7/12/04*

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Examiner Signature	<i>Donald H. Hume</i>	Date Considered	<i>7/12/16</i>
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	09/841,733
Filing Date	April 25, 2001
First Named Inventor	Gunter et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	053087-5004

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Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
AB	6,411,605	B1	Vance et al.	06/25/02
	5,872,841		King et al.	02/16/99
	6,577,949	B1	Robinson et al.	06/10/03
	6,567,075	B1	Baker et al.	05/20/03
	6,031,904		An et al.	02/29/00
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	6,430,688		Kohl	08/2002
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	6,343,361		Nendell	01/2002
	6,256,733		Thakkar	07/2001
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FOREIGN PATENT DOCUMENTS						
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ₁
	Country Code	Number	Kind Code (if known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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**Examiner
Signature**

Date
Considered

7/12/04